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**SCHEEF & STONE, L.L.P.**

*Legal counsel based on solid principles.*

## Facsimile Information Sheet

DATE: May 15, 2006 CLIENT MATTER 999

TO: Examiner Joy K. Contee, Group Art Unit 2617

FIRM/COMPANY NAME: United States Patent and Trademark Office

FACSIMILE NO. 571-273-8300 TELEPHONE NO. \_\_\_\_\_

RE: US Patent Application No. 10/704, 507

TOTAL NUMBER OF PAGES 10 (including this Facsimile Information Sheet)

FROM: Lilis Pramasurja (214) 706.4227 (direct dial)

MESSAGE:

Please see attached correspondence.

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\_\_\_\_\_, Operator

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CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

Date of Mailing: May 15, 2006

I hereby certify that this correspondence is being faxed to the U.S. Patent and Trademark Office, Art Unit 2617, at 571-273-8300, on the above identified date.

Lilis Pramasuja Chibi Pramasuja  
Typed or printed name of person mailing paper or fee SIGNATURE of person mailing paper or fee

May 15, 2006

DOCKET NUMBER: 1578.623

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In re: U.S. Serial No. 10/704,507  
Inventors: Mark Dennis Norton

Dear Sirs:

Please note that the correspondence address for the above-referenced patent application is:

Docket Clerk  
P.O. Box 12608  
Dallas, Texas 75225

By correspondence dated 20 April 2005, we requested the correspondence address be changed to this address. Copy of the previously-submitted correspondence is attached.

Please correct the attorney/agent information listed on the IFW to reflect attorney listed for customer no. 44208.

Respectfully submitted,

By:

Robert H. Kelly  
Robert H. Kelly, Reg. No. 33,922  
Attorney for Applicant

MAY-15-2006 MON 02:55 PM

FAX NO.

P. 03

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## CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

Date of Mailing: April 20, 2005

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the above identified date.

Lilla Pramasurja

Typed or printed name of person mailing paper or fee

  
SIGNATURE of person mailing paper or fee

April 20, 2005

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

DOCKET NO. 1578.623  
(formerly 555255012622)

Sir:

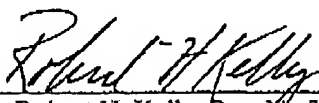
In re: U.S. Serial No. 10/704,507  
Inventor: Mark Dennis Norton, et.al.  
Title: Method and Apparatus for Processing Messages in a Universal  
Mobile Telecommunications System

Enclosed please find:

- ☒ Statement Under 37 CFR 3.73(b);
- ☒ Executed Assignment;
- ☒ Copy of Change of Correspondence Address submitted 09 Nov 2004;
- ☒ Copy of Power of Attorney; and
- ☒ Return post card

Respectfully submitted,

By:

  
Robert H. Kelly, Reg. No. 33,922  
Attorney for Applicant

MAY-15-2006 MON 02:56 PM

FAX NO.

MAY 15 2006

P. 04

App. for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Mark Dennis Norton, Andrew John Farnsworth

Application No./Patent No.: 10/704,507 Filed/Issue Date: 11/07/2003

Entitled: METHOD AND APPARATUS FOR PROCESSING MESSAGES IN A UNIVERSAL MOBILE TELECOMMUNICATIONS SYSTEM

M-STACK LIMITED, a CORPORATION  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
In the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

20 April 05  
Date  
214-706-4201  
Telephone number

ROBERT H. KELLY  
Typed or printed name  
Robert H. Kelly  
Signature

ATTORNEY FOR ASSIGNEE  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

MAY 15 2006

## ASSIGNMENT

WHEREAS, new and useful improvements have been made by the undersigned in

METHOD AND APPARATUS FOR PROCESSING MESSAGES IN A  
UNIVERSAL MOBILE TELECOMMUNICATIONS SYSTEM

and are the subject of a patent application prepared for filing with the United States Patent and Trademark Office attached hereto, and executed by the undersigned on the dates indicated below in the appropriate spaces to the left of the signatures of the undersigned, which application is further identified as Jones, Day, Reavis & Pogue Docket No. 555255012622.

WHEREAS, M-STACK LIMITED, a corporation organized under the laws of England and Wales, with registration number 4465926, having a place of business at Chancery House, 8 Edward Street, Birmingham, B1 2RX, UK, hereinafter referred to as "assignee", is desirous of acquiring all right, title, and interest throughout the world in, to, and under said improvements and inventions and patent rights therefor.

NOW, THEREFORE, be it known that, for valuable consideration, the receipt and sufficiency of which are hereby acknowledged, all right, title, and interest, in the United States and throughout the world, in, to and under said improvements and inventions and all patents, patent applications, patent rights, and inventor's certificates thereof, therefor, and therein, including without limitation said application for patent in the United States, all divisions and continuations thereof, all patents which may be granted thereon, all reissues and extensions thereof, all right to sue for past infringement thereunder, all patents which may be granted for said improvements and inventions by states or nations other than the United States, or by other authority, entity, or organization, and all applications therefor, have been and are hereby sold, assigned, transferred, and delivered unto assignee, its successors and assigns; and it is covenanted and agreed by the undersigned, and for executors, administrators, and legal representatives of the undersigned, that at assignee's request any and all applications, affidavits, assignments, and other instruments will be made, executed, and delivered as may be necessary, or desirable to secure for or vest in assignee, its successors or assigns, any improvement, inventions, right, title, interest, application, patent, patent right or other right or property covered by this assignment, and the United States Commissioner of Patents and Trademarks is hereby requested and authorized to issue any and all United States patents granted on any of said applications to assignee as owner of the entire right, title, and interest in, to, and under the same, and appropriately empowered officials of foreign countries are hereby authorized to issue any letters patent granted on any of said applications to assignee as owner of the entire right, title and interest in, to, and under the same.

The undersigned hereby grants the firm of Jones, Day, Reavis & Pogue the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.


Page 1 of 3

PUS-1216

1216 PLS

IN WITNESS WHEREOF, this assignment has been executed below by the undersigned:

Date: 2/2/2004

  
Mark Dennis Norton  
37 Orchard Road  
Bromsgrove  
Worcestershire  
England  
B61 8HZ

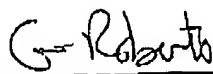
## STATEMENT BY WITNESS

I, Gideon Roberts whose full Post Office address is

52 Station Road, Stone, Staffordshire England ST15 8ES  
(Address of Witness)

hereby declare that I was personally present and did see the above named person, personally known to me to be the person named in the assignment, duly sign and execute the same.

Date: 2/2/04

  
(Signature of Witness)

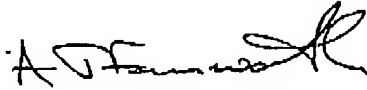
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12 16 PUS

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P. 07

IN WITNESS WHEREOF, this assignment has been executed below by the undersigned:

Date: 2/2/2004



Andrew John Farnsworth  
35 Ashgrove Close  
Marlbrook  
Bromsbrook  
England  
B60 1HW

STATEMENT BY WITNESS

I, Gideon Roberts whose full Post Office address is

52 Station Road, Stone, Staffordshire England ST15 8ES  
(Address of Witness)

hereby declare that I was personally present and did see the above named person, personally known to me to be the person named in the assignment, duly sign and execute the same.

Date: 2/2/04



(Signature of Witness)

Formerly of 555255-012-622

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PTO/SB/122 (06-03)  
Apr for use through 11/30/2005. OMB 0651-0035  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## CHANGE OF CORRESPONDENCE ADDRESS Application

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450.

Application Number	10/704,507
Filing Date	07 November 2003
First Named Inventor	Mark Dennis Norton, et.al.
Art Unit	2681
Examiner Name	Unknown
Attorney Docket Number	PUS-1216 (1578.623)

Please change the Correspondence Address for the above-identified patent application to:

☒ Customer Number: 44208

# COPY

OR

☐ Firm or  
Individual Name

Address

Address

City

State

Zip

Country

Telephone

Fax

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I am the:

☐ Applicant/Inventor

☐ Assignee of record of the entire interest.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ Attorney or Agent of record. Registration Number 33,922

☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number \_\_\_\_\_

Typed or Printed  
Name Robert H. Kelly

Signature

Date

Telephone 214-706-4200

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

☒ Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/00 (12-03)

Approved for use through 11/30/2005, OMB 0551-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO**

I hereby appoint:

☒ Practitioners associated with the Customer Number:

44208

OR

☐ Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

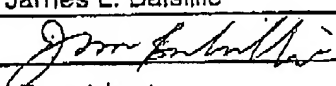
Assignee Name and Address:

M-Stack Limited  
 Chancery House  
 8 Edward Street  
 Birmingham  
 B1 2RX  
 U.K.

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

**SIGNATURE of Assignee of Record**

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

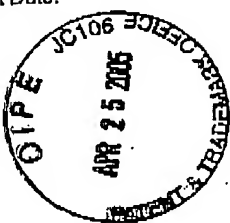
Name	James L. Balsillie		
Signature		Date	Oct. 14/04
Title	President	Telephone	519-888-7465

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Atty: <u>RHK</u> Docket No. <u>PUS1126 (1578,623)</u>	Receipt Date:
Serial Number: <u>10/704,507</u>	
Title: <u>Method and System for Processing Messages in a Universal Mobile Telecommunications System</u>	
Inventor(s)/Applicant: <u>Mark Dennis Norton, et al.</u>	
Mailed: <u>April 20, 2005</u>	
<p>The "Receipt Date" imprinted hereon by the US PTO acknowledges the filing of: Statement Under 37 CFR 3.73(b); Executed Assignment; Copy of Change of Correspondence Address submitted 09 Nov 2004; Copy of Power of Attorney</p>	